

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Serial No.: 10/033,228  
Applicants: Richard E. Smalley et al.  
Filing Date: December 28, 2001  
Group: 1754  
Atty. Docket No.: 11321-P012USD10

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U.S. PATENT DOCUMENTS

Reference Designation

APR 19 2002  
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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
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APA RINZLER, et al., "Field Emission and Growth of Fullerene Nanotubes," *Presented at the Fall, 1994 MRS Meeting*, November 28, 1994, Boston, submitted for MRS proceedings, Volume 359.

AQA GAMALY, et al., "Mechanism of carbon nanotube formation in the arc discharge," *Physical Review B*, Volume 52, Number 3, July 15, 1995-I, pp. 2083-2089.

ARA GE, et al. "Scanning tunneling microscopy of single-shell nanotubes of carbon," *Appl. Phys. Lett.*, Volume 65(18), October 31, 1994, pp. 2284-2286.

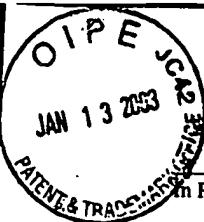
Examiner:

*Richard Smalley*

Date Considered:

1/21/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
SLA AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
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SLA AEA

AJAYAN et al., "Opening carbon nanotubes with oxygen and implications for filing," *Nature*, Volume 362, pp. 522-525 (April 8, 1993).

Examiner:

*Wendicks*

Date Considered:

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SD AEA

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Examiner:

Kenrikus

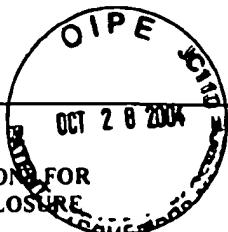
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Examiner:

Wendickson

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1/2/05

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